



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gallucci et al. )  
Serial No.: 10/638,099 ) Group Art Unit: 1711  
Filing Date: 08/07/2003 ) Examiner: Tran, Thao T.  
For: REFLECTIVE ARTICLE AND METHOD )  
FOR THE PREPARATION THEREOF )

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.

The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

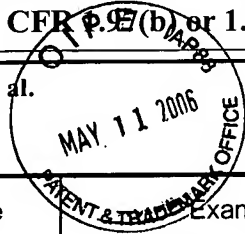
By: J. Michael Buchanan  
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
RD27416-2

In Re Application Of: Gallucci et al.



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/638,099	8/7/2003	Tran, Thao T.	23413	1711	3376

Title: **REFLECTIVE ARTICLE AND METHOD FOR THE PREPARATION THEREOF**

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**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

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10/638,099	8/7/2003	Tran, Thao T.	23413	1711	3376

Title: **REFLECTIVE ARTICLE AND METHOD FOR THE PREPARATION THEREOF**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 50-3619 as described below.
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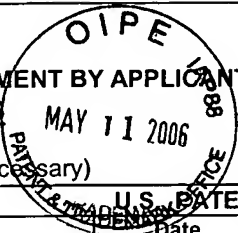
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CC:

<b>PTO-1449</b> <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <b>LIST OF ITEMS</b> (Use several sheets if necessary)				<b>Attorney's Docket Number</b> RD27416-2		<b>Serial Number</b> 10/638,099	
				<b>Name of Applicant</b> Gallucci et al.			
				<b>Filing Date</b> 08/07/2003		<b>Group Art</b> 1711	
<b>U.S. PATENT DOCUMENTS</b>							
<b>Examiner Initial</b>	<b>Document Number</b>	<b>Date</b>	<b>NAME</b>	<b>Class</b>	<b>Subclass</b>	<b>Filing Date If Appropriate</b>	
	4754128	6/28/1988	Takeda et al.				
	4942073	6/17/1990	Era et al.				
	5118573	6/2/1992	Tawara et al.				
	5297132	3/22/1994	Takano et al.				
	5330852	7/19/1994	Gerstenberg et al.				
	5512416	4/30/1996	Namba et al.				
	5525379	6/11/1996	Takada et al.				
	6183829	2/6/2001	Daecher et al.				
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>							
<b>Examiner Initial</b>	<b>Document Number</b>	<b>Date</b>	<b>NAME</b>	<b>Class</b>	<b>Subclass</b>	<b>Filing Date If Appropriate</b>	
	2002/0025443	2/28/2002	Ohno				
<b>FOREIGN PATENT DOCUMENTS</b>							
<b>Examiner Initial</b>	<b>Document Number</b>	<b>Date</b>	<b>COUNTRY</b>	<b>Class</b>	<b>Subclass</b>	<b>TRANSLATION YES NO</b>	
	2099852	07-1993	Canada			X	
<b>OTHER INFORMATION (including author, title, date, pertinent)</b>							
	Y.Barrell et al., "Expanding Thermal Plasma for Fast Deposition of Scratch-Resistant SiCxHyOz Films", Surface and Coatings Technology 180-181 (2004) 367-371						
	M.F.A.M. van Hest doctoral thesis, "High Rate Deposition of Silicone Oxide Like Films" pages 95-108, 133-154, 167-169 (2002)						
	10/638,145 Non-Final Office Action dated 10/07/2005 (18 pages)						
	10/638,145 Non-Final Office Action dated 03/21/2006 (12 pages)						
	JP03-248337; 11-1991; Abstract Only (2 pages)						
	JP01-232553; 09-1989; Abstract Only (2 pages)						
	JP04-177632; 06-1992; Abstract Only (1 page)						
	JP02-312020; 12-1990; Abstract Only (2 pages)						
	JP60-203489; 10-1985; Abstract Only (1 page)						
	JP63-108540; 05-1988; Abstract Only (2 pages)						
	JP63-201929; 08-1988; Abstract Only (2 pages)						
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>			
* <b>EXAMINER:</b> Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.							